EXPRESS MAIL LABEL NO: EV064376134US
DATE: June 21, 2002

DT17 Rec'd PCT/PTO JUN 21 2002

FORM PTO 1390 (REV 11-2000) U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

ATTORNEY'S DOCKET NUMBER: SONYAM-166

10/019332

TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371

U.S. APPLICATION NO. (If known, see 37 CFR 1.5): 10/019,332

INTERNATIONAL APPLICATION NO.	INTERNATIONAL FILING DATES	PRIORITY DATE CLAIMED
PCT/JP01/03584	25 April 2001	28 April 2000

TITLE OF INVENTION: OPTICAL COMMUNICATION METHOD AND APPARATUS

APPLICANT(S) FOR DO/EO/US: Shino Kuninori, Kure Yoshinobu, Horie Kazuyoshi, Toriumi Youichi, Masuda Yoshifumi, and Nagura Kazuhito

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. [ ] This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. [x] This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing 35 U.S.C. 371
3. [ ] This is an express request to begin national examination procedures (35 U.S.C. 371 (f)). The submission must include items (5), (6), (9) and (21) indicated below.
4. [ ] The US has been elected by the expiration of 19 months from the priority date (PCT Article 31).
5. [ ] A copy of the International Application as filed (35 U.S.C. 371 (c)(2))
   a. [ ] is attached hereto (required only if not communicated by the International Bureau).
   b. [ ] has been communicated by the International Bureau.
   c. [ ] is not required, as the application was filed in the United States Receiving Office (RO/US).
6. [ ] An English language translation of the International Application as filed (35 U.S.C. 371 (c)(2)).
   a. [ ] is attached hereto.
   b. [ ] has been previously submitted under 35 U.S.C. 154(d)(4).
7. [ ] Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c)(3))
   a. [ ] are attached hereto (required only if not communicated by the International Bureau).
   b. [ ] have been communicated by the International Bureau.
   c. [ ] have not been made; however, the time limit for making such amendments has NOT expired.
   d. [ ] have not been made and will not be made.
8. [ ] An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371 (c)(3)).
9. [x] An oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)). (Executed)
10. [ ] An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371 (c)(5)).

Items 11 to 20 below concern document(s) or information included:

11. [ ] An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. [ ] An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. [ ] A FIRST preliminary amendment.
14. [ ] A SECOND or SUBSEQUENT preliminary amendment.
15. [ ] A substitute specification.
16. [ ] A change of power of attorney and/or address letter.
17. [ ] A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821 - 1.825.
18. [ ] A second copy of the published international application under 35 U.S.C. 154(d)(4).
19. [ ] A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).
20. [x] Other items or information: Copy of Notification Of Missing Requirements Under 35 U.S.C. 371 In The United States Designated/Elected Office (DO/EO/US), Petition for Extension Of Time (2 months)

U.S. APPLICATION NO. (if known, see 37 CFR 1.5)	INTERNATIONAL APPLICATION NO.	ATTORNEY'S DOCKET NUMBER
10/019,332	PCT/JP01/03584	SONYAK-160

21. [x] The following fees are submitted:

CALCULATIONS PTO USE ONLY

BASIC NATIONAL FEE (37 CFR 1.492 (a) (1) – (5)):

- [ ] Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO $1040.00
- [ ] International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO $890.00
- [x] International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO $740.00
- [ ] International preliminary examination fee (37 CFR 1.482) paid to USPTO but all claims did not satisfy provisions of PCT Article 33(1)-(4) $710.00
- [ ] International preliminary examination fee (37 CFR 1.482) paid to USPTO and all claims satisfied provisions of PCT Article 33(1)-(4) $100.00

ENTER APPROPRIATE BASIC FEE AMOUNT =	$	
Surcharge of $ 130.00 for furnishing the oath or declaration later than [ ] 20 [x] 30 months from the earliest claimed priority date (37 CFR 1.492 (e)).	$ 130.00	

CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE		
Total claims	-20 =		x	$	
Independent claims	-3 =		x	$	
MULTIPLE DEPENDENT CLAIM(s) (if applicable)			+	$	
TOTAL OF ABOVE CALCULATIONS =				$	130.00
[ ] Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by ½.				$	
SUBTOTAL =				$	130.00
Processing fee of $ _____ for furnishing the English translation later than [ ] 20 [ ] 30 months from the earliest claimed priority date (37 CFR 1.492 (f)). +				$	
TOTAL NATIONAL FEE =				$	130.00
Fee for recording the enclosed assignment (37 CFR 1.21 (h)). Assignment must be accompanied by appropriate cover sheet (37 CFR 3.28, 3.31) + (per property).				$	
TOTAL FEES ENCLOSED =				$	130.00
				Amount to be Refunded:	$
				Charged:	$

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a. [ ] A check in the amount of $ _____ to cover the above fees is enclosed.

b. [x] Please charge my Deposit Account No. 12-1095 in the amount of $ 130.00 to cover the above fees. A duplicate copy of this sheet is enclosed.

c. [x] The Commissioner is hereby authorized to charge any additional fees which may be required or credit any overpayment to my Deposit Account No. 12-1095. A duplicate copy of this sheet is enclosed.

NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137 (a) or (b)) must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO:

Robert B. Cohen
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(908) 518-6316

SIGNATURE:

Robert B. Cohen
NAME

32,768
REGISTRATION NUMBER